

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GIORDANO et al.

International Application No. PCT/EP03/00242

Serial No. 10/501,295 Filed: July 12, 2004

International Filing Date: 13 January 2003 (01.13.2003)

Title: PANTOPRAZOLE CYCLODEXTRIN INCLUSION COMPLEXES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. § 1.97-1.98. Please note the following particulars:

- [NOTE: One only of the following items apply]
- a. The enclosed statement discloses the filing date or months of the date set forth in 37 C.F.R. § 1.97 before the mailing of the application or the merits, whichever is earlier.
- b. The enclosed statement discloses the merits but does not disclose the filing date or months of the date set forth in 37 C.F.R. § 1.11 or 1.311.
- i. a certificate of mailing under 37 C.F.R. § 1.17(a).
- ii. a check in the amount of \$17.00 under 37 C.F.R. § 1.17(p).
- c. The enclosed statement discloses the allowance of a final action and the issue fee.
- d. The enclosed statement discloses the filing date or months of the date set forth in 37 C.F.R. § 1.97.
- e. Certification is made only if []
- Although the page says "page 3 of 3," there are no pages 1 and 2 that are to be returned to Applicant. See attached.*
- Page 3 is the only one that is supposed to be included.*

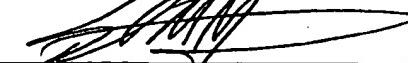
- [] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
or
- [] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
or
- [] Appropriate certification is attached.
- [x] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- [x] g. Copies of the documents are attached herewith with a completed Form PTO-1449.
or
- [x] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). or Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
NATH & ASSOCIATES

By:


Gary M. Nath
Registration No. 26,965
Sheldon M. McGee
Registration No. 50,454
Customer No. 34375

Date: 9/21, 2004

				Atty Docket 26177	Serial No. 10/501,295		
				Applicant GIORDANO et al.			
				Filing Date July 12, 2004	Group Art Unit Not Yet Assigned		
FORM PTO-1449 SUPPLEMENTAL INFORMATION DISCLOSURE CITATION							
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	5,399,700	03.21.95	Min et al.			12.29.92
	AB						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Trans-lation
	AC	0 005 129	31.10.79	EP			N/A
	AD	0 174 726	19.03.86	EP			N/A
	AE	EP 1 018 340 A1	12.07.00	EP			N/A
	AF	0 166 287	02.01.86	EP			N/A
	AG	0 244 380 B1	04.11.87	EP			N/A
	AH	EP 0 268 956 B2	01.06.88	EP			N/A
	AI	WO 86/00913	13.02.86	WO			ABSTRACT
	AJ	WO 92/22284	23.12.92	WO			ABSTRACT
	AK	WO 93/13138	08.07.93	WO			N/A
	AL	WO 96/01623	25.01.96	WO			N/A
	AM	WO 96/01624	25.01.96	WO			N/A
	AN	WO 96/01625	25.01.96	WO			N/A
	AO	WO 96/38175	05.12.96	WO			ABSTRACT
	AP	WO 97/02020	23.01.97	WO			N/A
	AQ	WO 97/25030	17.07.97	WO			N/A
	AR	WO 98/40069	17.09.98	WO			N/A
	AS	WO 99/62958	09.12.99	WO			N/A
	AT						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AU	Duchene, D. et al. "New Trends in Pharmaceutical Applications of Cyclodextrin Inclusion Compounds", Kluwer Academic Publishers, pp.265-275, 1988.					
Examiner				Date Considered			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

LCM
12/10/06 1 1
Page 8 of 8

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	AB						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Trans-lation
LCM	AC	EP 0 005 129	31.10.79	EP			N/A
LCM	AD	EP 0 174 726	19.03.86	EP			N/A
LCM	AE	EP 1 018 340 A1	12.07.00	EP			N/A
LCM	AF	EP 0 166 287	02.01.86	EP			NO N/A
LCM	AG	EP 0 244 380 B1	04.11.87	EP			N/A
LCM	AH	EP 0 268 956 B2	01.06.88	EP			N/A
LCM	AI	WO 86/00913	13.02.86	WO			ABSTRACT
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LCM	AM	WO 96/01624	25.01.96	WO			N/A
LCM	AN	WO 96/01625	25.01.96	WO			N/A
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LCM	AP	WO 97/02020	23.01.97	WO			N/A
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LCM	AS	WO 99/62958	09.12.99	WO			N/A
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LCM	AU	Duchene, D. et al. "New Trends in Pharmaceutical Applications of Cyclodextrin Inclusion Compounds", Kluwer Academic Publishers, pp.265-275, 1988.					
Examiner <u>Leigh C. Maier</u>				Date Considered <u>12/14/06</u>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							